# Neutron and gamma discrimination based on multi-features and KNN-LDA)\*

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In order to improve the  $n/\gamma$  discrimination effect, this paper proposes an intelligent discrimination method based on multi-features and KNN-LDA algorithm. Firstly, this paper establishes 6 feature parameters covering time and frequency domains according to the PSD principle; secondly, an automatic feature extraction system is designed, which can intelligently extract the optimal distributions of multi-features from the pulse data. Then, the feature criterion is constructed and calculated based on the feature distributions, so as to divide reliable data from the feature data as the training set of the model, and the rest as the test set. Finally, based on the training set, using the regression optimization and dimensionality reduction of the KNN-LDA model, the test set is input into the model for further classification to achieve the  $n/\gamma$  discrimination of all pulses. According to the experimental results, the FOM value of the KNN-LDA model reaches 3.07 in the test set of high-energy domain ( $\geq$ 40 keV), which is 245% higher than that of the CCM; in the test set of low-energy domain ( $\leq$ 40 keV), the FOM value of the KNN-LDA model reaches 2.64, which is 355% higher than that of the CCM. The experimental results show that the discrimination effect of this method is excellent, especially the discrimination of low-energy-domain is greatly improved, which provides a new idea for introducing supervised learning model to solve the difficult problem of low-energy-domain discrimination.

Keywords:  $N/\gamma$  discrimination, Multi-features, Linear discriminant analysis(LDA), K-nearest neighbor(KNN), Automatic feature extraction

## I. INTRODUCTION

The discrimination between neutrons and  $\gamma$ -rays is a key  $_3$  issue in neutron detection research [1–3]. The discrimination 4 method based on the PSD (Pulse shape discrimination) idea 5 has been the mainstream method [4–6], and in recent years, 6 with the rapid development of machine learning, the intelli-7 gent discrimination method based on machine learning has 8 also become a hot research direction in this field [7–9]. The 9 traditional PSD-based discrimination methods usually use a 10 single feature to visualize the pulse shape difference [10–12], and use the distribution of the feature to characterize the dif-<sub>12</sub> ferentiation effect between neutrons and  $\gamma$ -rays to achieve n/ $\gamma$ 13 discrimination. However, when the pulse shape difference be-14 tween neutrons and  $\gamma$ -rays is small, especially when the dif-15 ference expressed in this feature parameter is small, the dis-16 crimination ability of this feature is greatly reduced, which 17 always occurs in the low-energy domain [13–15], and is also 18 the main reason why it is harder to discriminate the pulse data the low-energy domain. Intelligent discrimination methods based on machine learning have been used as an effective way to enhance the discrimination effect of low-energydomain data, among which supervised learning algorithms, which have the advantages of strong interpretability, high pre-24 diction accuracy, and wide applicability, have been applied 25 many times in this field [16-18]. However, supervised learn-26 ing algorithms often need reliable learning samples to train  $_{27}$  the model, but for the  $_{1}$ / $_{\gamma}$  discrimination field, there is no standard database of neutron and  $\gamma$ -ray pulses to provide sam-29 ples for the model to train, which makes the portability of the

30 supervised learning model greatly weakened, and also signifi-31 cantly increases the difficulty of the model applied to the field 32 discrimination.

Aiming at the pulse data that are difficult to discriminate, in order to effectively improve the  $n/\gamma$  discrimination effect and increase the robustness of the model, this paper proposes an intelligent discrimination method based on multi-features and KNN-LDA. First, this paper establishes six waveform feature parameters to jointly characterize the pulse differences 39 from multiple dimensions, and designs an intelligent feature 40 extraction algorithm to automatically obtain the optimal dis-41 tribution of each feature. Secondly, the distribution of each 42 feature is synthesized to construct a feature criterion, which 43 is used to classify the part of feature data that is reliable and 44 easy to be discriminated, so as to complete the preliminary 45 classification, and this part of the feature data is turned into 46 the training set, and the remaining data is used as the test 47 set. Then, the KNN-LDA model is trained with the training 48 set, and regression optimization and dimensionality reduction 49 classification are carried out on the remaining test set, and  $n/\gamma$ 50 discrimination of all pulses is finally realized.

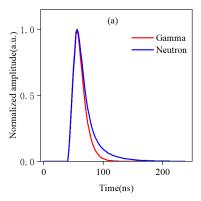
## II. METHODS

## A. Selected multi-features

In order to improve the discrimination accuracy, this study proposes to construct feature parameters covering both time and frequency domains to participate in  $n/\gamma$  discrimination, but from the viewpoint of the discrimination model, the computational complexity of the feature parameters must be taken into account. If the construction and computation of each feature parameter is cumbersome, then accordingly the application of the whole multi-feature parameters will face difficulties in feature extraction, which will undoubtedly make the

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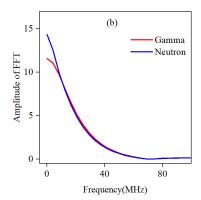


Fig. 1. Pulse shape difference: (a) time domain; (b) frequency domain.

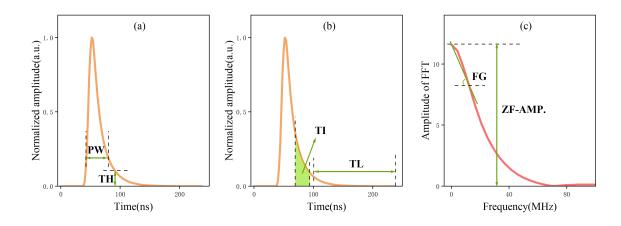


Fig. 2. Selected features: (a) pulse width(PW) and tail height(TH); (b) tail integral(TI) and tail length(TL); (c) frequency gradient(FG) and zero frequency amplitude(ZF-AMP.).

62 study lose sight of the problem. Thus, the feature parame-63 ters we select should be able to express the pulse differences 64 in different dimensions, but also to meet the needs of simple 65 construction and easy extraction, so that it is possible to carry out efficient multi-features extraction, which is the premise of the application of multidimensional feature parameters to  $n/\gamma$ discrimination.

Normalized neutron and  $\gamma$ -ray pulses usually differ in the 70 falling edge segment [19–21], as shown in Fig. 1. a. It is intuitively obvious that this difference can be concretized in the transverse direction, in the longitudinal direction, and in 73 the integral. Accordingly, we construct two features of pulse width (PW) and tail length (TL) in the transverse direction, where pulse width does not narrowly refer to the width of the 76 pulse at 50% amplitude, but refers to the width of the pulse and a certain amplitude level from the intersection point of

84 (TI) feature is also constructed, i.e., the integral value of a cer-85 tain part of the falling edge. The four time-domain features 86 are shown in Fig. 2. a and Fig. 2. b. The normalized neutron and  $\gamma$ -ray pulses are obviously different in the low-frequency 88 range of the amplitude spectrum after the FFT [22, 23], as 89 shown in Fig. 1. b. Drawing on the PSD features of the 90 traditional frequency-domain discrimination method, we con-91 structed zero-frequency amplitude (ZF-AMP.) and frequency 92 gradient (FG), which were utilized by the articles [60,61] to 93 effectively complete the n- $\gamma$  discrimination, which demon-94 strated that they have a good discrimination ability, as shown 95 in Fig. 2. c.

This study combines the traditional discrimination meth-97 ods, selects the feature parameters from multiple viewpoints 98 in the time-frequency domain to comprehensively characterthe rising edge to the intersection point of the falling edge, 99 ize the difference information contained in the pulse waveand similarly the tail length, i.e., refers to the width of a cer- 100 forms, and applies the constituted multidimensional feature tain sample point at the falling edge to the last sample point of parameters to the n- $\gamma$  discrimination study, with a view to obthe pulse; and we construct a feature of the tail height (TH) in 102 taining better discrimination results, especially in the case of the longitudinal direction, which is the amplitude of the pulse 103 smaller differences in the pulse waveforms, to obtain better 83 at a certain point along the falling edge; and the tail integral 104 discrimination effects and more reliable classification results.

#### **Automatic feature extraction**

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In PSD-based discrimination methods [24-26], the dis-107 tribution of different PSD factors is generally obtained by continuously adjusting the feature measurement parameters, from which the optimal distribution is determined, and the valley between the two distribution peaks is used as the discrimination threshold to realize  $n/\gamma$  discrimination. Among them, the process of finding the optimal distribution of features needs to be manually adjusted, and the optimal parameters under different experimental scenarios are different [27– 29], so this process is sometimes cumbersome and repetitive, and because of human judgment, the best results may not be obtained in the end. Therefore, it is crucial to realize the automation of feature extraction [30].

The key to the automation of feature extraction is to find and output the feature distribution that offers the best separation, so it is necessary to compare the separation degree of the feature distribution obtained from different measurement parameters. In the traditional methods, FOM (Figure Of Merit) is used to evaluate the  $n/\gamma$  discrimination effect [31], i.e., it is used to express the degree of separation between the neutron peak and  $\gamma$ -ray peak, and in order to make a distinction, DOS (Degree Of Separation) is used in this paper, which is defined as shown in Fig. 3, in which d is the spacing of the two peaks, 129 and  $FWHM_n$  and  $FWHM_\gamma$  are the full width at half maximum of the neutron peak and the  $\gamma$ -ray peak, respectively.

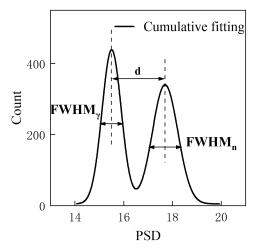


Fig. 3. The sketch map of DOS.

The DOS value can be calculated by Eq. (1), the larger the DOS value, the greater the degree of separation. By calculating and comparing the DOS values of the feature distribution measured with different parameters, from which we can find the optimal feature distribution we need, thus realizing the automated extraction of feature. 136

$$DOS = \frac{d}{FWHM_n + FWHM_{\gamma}} \tag{1}$$

139 shown in Fig. 4: firstly, based on the input preprocessed pulse 140 and the feature to be measured, the range of extraction parameters for the feature is confirmed; secondly, the loop structure is entered, and a set of measurement parameters is inputted into each loop, and the program measures the features of all the pulses according to the parameters, thus obtaining a feature distribution; then, the DOS values of the neutron peak and the  $\gamma$ -ray peak are calculated, which is compared with 147 the DOS value stored in the previous loop in the shift reg-148 ister, leaving the larger value with its corresponding feature measurement value; finally, when the loop ends, the largest value and the corresponding feature measurement value are outputted. Then, calculate the DOS value of the neutron peak and the  $\gamma$  peak, compare it with the DOS value stored in the 153 previous cycle in the shift register, and leave the larger value 154 with its corresponding feature measurement value to enter the next cycle; finally, when the cycle is finished, output the 156 largest DOS value and the corresponding feature value. That is to say, the automatic extraction of feature is accomplished while ensuring the best degree of separation.

In the above process, the most critical thing is how the 160 program autonomously calculates the DOS. Due to the in-161 determinate shape of the feature distribution curves and the 162 inability to directly obtain the calculation parameters of the DOS, we uniformly perform Gaussian fitting on the distribution curves and use the fitting parameters to directly calculate the DOS, as in Eq. (2), where  $\mu_n$ ,  $\mu_{\gamma}$ , and  $\sigma_n$ ,  $\sigma_{\gamma}$  are the mean and standard deviation of the peaks of the neutron fitting and the  $\gamma$  fitting, respectively. The mean and standard deviation of the fits can be obtained directly after performing Gaussian fitting.

$$DOS = \frac{|\mu_n - \mu_\gamma|}{2.355 \times (\sigma_n + \sigma_\gamma)} \tag{2}$$

However, for the feature distribution curves, the program cannot perform a Gaussian fit of the bimodal peaks by itself, so we need to switch the idea to split the distribution bimodal peaks from the troughs between them, thus forming two single peaks in order to perform a Gaussian fit of the single peaks automatically at the same time. In this way, the problem converts into how to find the correctly fitting trough.

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Because the feature distribution curves are affected by many factors, such as the size of the pulse dataset, the feature category, the measurement location of the same feature, etc., the shape of the distribution curve is not necessarily a regular bimodal shape, and it is more likely to have a variety of burrs and fluctuations, which makes it difficult to determine the location of the target trough, beacuse of the presence of a very large number of meaningless troughs during the trough searching. Therefore, before seeking the trough, this paper preforms three times spline fitting (the balance parameter is 188 set to 0.9), so that the distribution curve tends to be smooth 189 and will not be deformed. Then according to the position 190 of the double peaks stuck in the position of the target valley, 191 using the determined valley to split the original distribution (1) 192 curve before fitting, and then at the same time for Gaussian 193 fitting, and then calculate the DOS value. In this way, the The automatic extraction process of a single feature is 194 program can autonomously find the best feature distribution,

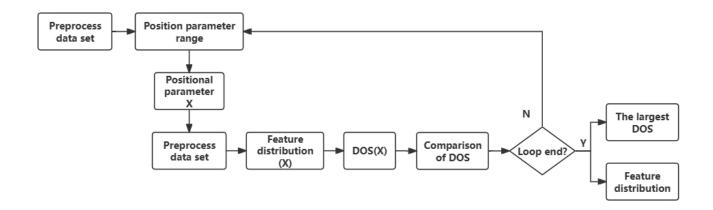


Fig. 4. The flow chart of feature extraction.

195 thus optimizing the process of manual parameter tuning.

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## C. Feature criterion

After obtaining the feature data of the pulse, each feature 227 will be classified by the feature distribution. In this paper, 228 pulse as a  $\gamma$ -ray pulse; if CVF(i)=1, all six features classified by the feature distribution. Comprehensive Voting Factor (CVF) and Comprehensive Location Factor (CLF) are constructed to comprehensively evaluate the performance of each pulse in the classification of multi-features, and based on them, reliable data sets are sep-203 arated from the feature data and used as the training samples, 233 features discrimination, and the less reliable the obtained re-204 and the remaining data as relatively more difficult to distin-205 guish test samples, and then use the trained KNN-LDA to 235 further discriminate the test set.

The initial discrimination through the feature distributions 208 from the automatic feature extraction (discrimination for neutron marked as 1, for  $\gamma$ -ray marked as 0), to get 6 discrimina-210 tion results data set, from which we can construct a matrix of  $_{211}$  0 and 1 composed of  $M \times 6$  to represent these data results, as  $_{212}$  in Eq. (3). The computed weights W of CVF are calculated 213 by Eq. (4). We can obtain a matrix  $B_{6\times1}$  as in Eq. (5), and 214 the CVF can be calculated as in Eq. (6).

$$A_{M\times 6} = \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 1 & 1 & 1 & 1 & 1 & 1 \end{pmatrix}$$
 (3)

$$W_{feature} = \frac{DOS_{feature}}{\Sigma DOS} \tag{4}$$

$$B_{6\times 1} = (W_{PW}, W_{TH}, \dots, W_{ZF-AMP}, W_{FG})^T$$
 (5)

$$CV_{M\times 1} = A_{M\times 6} \times B_{6\times 1} \tag{6}$$

The values CVF(i) in the  $CV_{M\times 1}^T$  matrix all range from 258 222 223 0 to 1. We use Eq. (7) to determine the category of the ith 259 224 pulse, i.e., we realize the comprehensive voting result with 260 pulse on the feature; a and b are the distances from the gamma

225 multi-features.

$$\begin{cases} \gamma & 0 \le CVF(i) < 0.5 \\ n & 0.5 \le CVF(i) \le 1 \end{cases}, i = 1, 2, \dots, M$$
 (7)

Obviously, if CVF(i) = 0, all six features classify the 229 sify it as a neutron pulse. For pulses with a CVF of 0 and 1, we consider their corresponding discrimination results to be very reliable and can be used to construct the training set. The 232 closer the CVF is to 0.5, the more difference occurs in multi-234 sults are.

The CVF integrates the category judgment of each feature 236 for each pulse, and for the feature distribution output from the 237 automatic feature extraction system, specifically for each fea-238 ture of each pulse in the corresponding feature distribution, 239 the pulse is likely to have different performances in each fea-240 ture, that is to say, the pulse may be far away from the trough 241 in this feature distribution, and immediately adjacent to the 242 trough in another feature distribution, so sometimes a single 243 feature may not be able to fully represent the differences in 244 pulse shapes. On the other hand, the higher the quality of 245 the training samples for the supervised model, the better the 246 model performance will be. Therefore, we construct the CLF (3) 247 to further optimize the reliable data selected according to the 248 CVF from the relative positions of the feature distributions, 249 and thus obtain a high-quality training set.

As Fig. 5 shows the distribution curve of a typical PSD fea-251 ture histogram, assuming that the left peak is the  $\gamma$ -peak and (4) 252 the right peak is the neutron peak, the value of this PSD fea-253 ture for the ith pulse is c(i), and the trough value is v. Based 254 on this, we define the relative position of each pulse on this (5) 255 feature in terms of Eq. (8):

$$L(i)_{feature} \begin{cases} \frac{c(i)-v}{a}, c(i) < v \\ \frac{c(i)-v}{b}, c(i) \ge v \end{cases}, i = 1, 2, \dots, M$$
 (8)

where  $L(i)_{feature}$  refers to the relative position of the ith

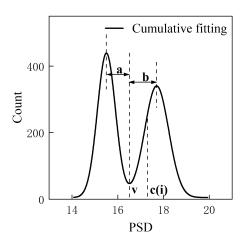


Fig. 5. Schematic diagram of the relative position.

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and neutron peaks to the trough, respectively; and M represents the number of mixed pulses. 262

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Obviously, the relative position of the pulse with the feature value located at the  $\gamma$  peak is negative, the relative position 264 of the pulse located at the neutron peak is non-negative, and the relative position of the pulse located at the center of the two peaks is exactly  $\pm 1$ , i.e., the larger the absolute value of the relative position and the further away from the zero point, the better the separation is at that feature. The distribution of the relative positions of a single feature is fundamentally similar to the shape of the original distribution, which gives the conditions to synthesize the feature distributions of each pulse, and we define the CLF directly in Eq. (9):

$$CLF(i) = \frac{L(i)_{feature}}{6} \tag{9}$$

the farther the relative position of the pulse is from the classi- 327 points of samples of different classes are as far away from fication boundary point, the better its combined performance 328 each other as possible; when a new sample is classified, it is ing classification reliability is. 279

To summarize, we use CVF and CLF together as con-281 straints to form the feature criterion as in Eq. (10). CVF is 332  $_{282}$  constrained in terms of multi-features category reliability and  $_{333}$  which represents a total of m digitized pulses of neutrons 283 CLF is constrained in terms of multi-features positional reli- 334 mixed with  $\gamma$ -rays, each discretized into l sampling points. 284 ability, which selects a high-quality feature training set.

$$\begin{cases} CVF(i) = 0 \cup CVF(i) = 1 \\ |CLF(i)| > 0.5 \end{cases}, i = 1, 2, \dots, M \quad (10)$$

## D. KNN-LDA

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292 K "neighbors". Obviously, KNN is a kind of inert learning 293 model, its training phase is only to save the training samples, and then calculate the corresponding distance after receiving the test samples, and because each test sample has to calculate the distance to all the training samples, which makes its testing time overhead is large. Therefore, in this paper, the six extracted features are used as inputs to the KNN to reduce the computation of the algorithm while ensuring that the impulse difference information is fully retained. In this paper, the distance between test samples and training samples is calculated based on the Euclidean distance as in Eq. (11), where D(X,Y) is the distance between sample X and sample Y, m is the number of features contained in the samples, and  $x_i$  and  $y_i$  are the corresponding ith feature values in the two samples, respectively.

$$D(X,Y) = (\Sigma_1^m (|x_i - y_i|)^2)^{1/2}$$
(11)

After distance calculation and comparison, the K training 309 samples closest to the test samples are obtained, and the aver-310 age of these K samples is used as the regression result using 311 the "averaging method". In this way, based on the training set 312 of feature data, the test set can be regressed by KNN, and the 313 multi-dimensional characteristics of feature data can support the reasonableness of the regression features used for classification, so that the regression features can be used as the input 316 of LDA for further dimensionality reduction and classifica-

Linear discriminant analysis(LDA) [33] is a classical lin-319 ear learning method, which was first proposed by Fisher in 320 1936 for binary classification problems. Moreover, the pro-321 jection process of LDA has the effect of dimensionality re-322 duction and retains the category information, so LDA is also a 323 classical supervised dimensionality reduction technique. The (9) 324 idea of LDA [34] is to project a given set of training samples 325 onto a straight line, so that the projection points of samples It can be seen that the larger the absolute value of CLF is, 326 of the same class are as close as possible, and the projection on the feature distributions is, and the higher the correspond- 329 projected onto this straight line, and the class of the sample is 330 determined according to the position of its projection point, as shown in Fig. 6.

> The pulse data can be replaced by the matrix of Eq. (12), Specify that  $x_n = (x_{n1}, x_{n2}, \dots, x_{nl})$  denotes the neutron graph pulse, and  $x_{\gamma}=(x_{\gamma 1},x_{\gamma 2},\ldots,x_{\gamma l})$  denotes the  $\gamma$ -ray pulse.

$$X_{M \times l} = \begin{pmatrix} x_{11} & x_{12} & \dots & x_{1l} \\ \vdots & \vdots & \ddots & \vdots \\ x_{m1} & x_{m2} & \dots & x_{ml} \end{pmatrix}$$
 (12)

Let the mean vectors and covariance matrices of  $x_n$ ,  $x_{\gamma}$  be K-Nearest Neighbor (KNN) is a commonly used super- 339  $\mu_n$ ,  $\mu_{\gamma}$  and  $\sigma_n$ ,  $\sigma_{\gamma}$  respectively, if the pulse data are projected vised learning method [32], and its working mechanism is 340 onto a straight line w, then the projections of the centers of the very simple: given a test sample, find out the closest K sam- 341 two classes of samples onto the straight line w will be  $w^T \mu_n$ ples in the training set based on a certain distance metric,  $^{342}$  and  $w^T\mu_\gamma$ , and the covariances of the two classes of sam- and then make a prediction based on the information of these  $^{343}$  ples will be  $w^T\Sigma_n w$  and  $w^T\Sigma_\gamma w$  respectively. Our goal is to

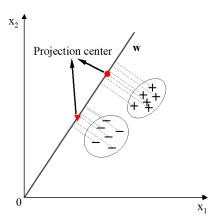


Fig. 6. The sketch map of LDA.

jection points and minimize their intra class distance, i.e., to 389 tomation, test and measurement, signal processing and other make the center distance  $\|w^T \mu_n - w^T \mu_\gamma\|_2^2$  as large as possmall as possible, if we consider the two at the same time, we 392 by LabVIEW is shown in this paper. 349 can get the goal of maximization:

$$J = \frac{\|w^{T}\mu_{n} - w^{T}\mu_{\gamma}\|_{2}^{2}}{w^{T}\Sigma_{n}w + w^{T}\Sigma_{\gamma}w}$$

$$= \frac{w^{T}(\mu_{n} - \mu_{\gamma})(\mu_{n} - \mu_{\gamma})^{T}w}{w^{T}(\Sigma_{n} + \Sigma_{\gamma})w}$$
(13)

Let the within-class scatter matrix be  $S_w = \Sigma_n + \Sigma_{\gamma}$ , the 351 between-class scatter matrix be  $S_b = (\mu_n - \mu_\gamma)(\mu_n - \mu_\gamma)^T$ , 352 which is brought into Eq. (13), we can obtain the Eq. (14):

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$$J = \frac{w^T S_b w}{w^T S_w w} \tag{14}$$

By derivation, it can be calculated that when the line w355 satisfies Eq. (15), J obtains a maximum value. 356

$$w = S_w^{-1}(\mu_n - \mu_\gamma) \tag{15}$$

Wherein, considering the stability of the numerical solu-359 tion,  $S_w^{-1}$  is usually obtained by singular value decomposition 360 of  $S_w$ , i.e.,  $S_w = U\Sigma V^T$ , where  $\Sigma$  is a real diagonal matrix whose elements on the diagonal are the singular values of  $S_w$ , and after that  $S_w^{-1}$  can be obtained from Eq. (16). From this, <sup>393</sup>  $_{\mbox{\scriptsize 363}}$  the optimal projection direction w can be obtained.

$$S_w^{-1} = V \Sigma^{-1} U^T \tag{16}$$

365 368 full use of and combine their regression optimization and di- 401 liver the training samples to the KNN-LDA model, where the mensionality reduction classification function. In summary, 402 model is trained to perform regression and dimensionality re-370 the KNN-LDA model is to obtain the KNN regression of 403 duction classification on the remaining fuzzy samples (test

371 the test set through the training set data and get the optimal <sub>372</sub> projection vector of LDA, and then use the optimal projec-373 tion vector to project the regression features down to a onedimensional space, and then get the discrimination results of one-dimensional features. In this paper, through the intelligent extraction of multi-features and the construction and 377 computation of feature criterion, high-quality training set can be separated instantly, which greatly facilitates the classifica-379 tion of KNN-LDA.

### III. EXPERIMENT

LabVIEW is a graphical development environment, is commonly used to build digital n- $\gamma$  discrimination platform programming software, which can build a user interactive in-384 terface to facilitate the signal display and parameter changes. 385 Moreover, its graphical programming is simple and easy to 386 learn, easy to start, but also embedded in a variety of directly 387 usable data processing modules, greatly reducing the diffimaximize the inter class distance between two types of pro388 culty of programming, and is widely used in industrial ausible, and the sum of the covariances,  $w^T \Sigma_n w + w^T \Sigma_\gamma w$  as 391 features based KNN-LDA model discrimination constructed

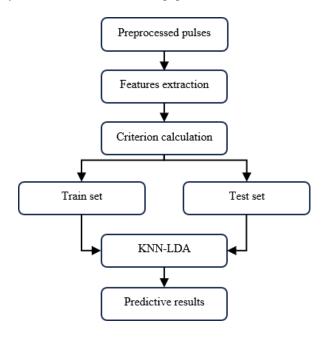


Fig. 7. The flow chart of KNN-LDA.

First, input the preprocessed pulse data, from which the au-(16) 396 tomatic feature extraction algorithm extracts multi-features. 397 Then, calculate the corresponding CVF and CLF based on From the above principle analysis, we can see: KNN re- 398 the output multi-features classification result and distribution gression algorithm and LDA classification algorithm princi- 399 location, selecting high-quality training samples from the feaple is simple, easy to calculate, easy to apply, we can make 400 ture data to complete the initial classification. Finally, de405 the discrimination task.

## Data acquisition and processing

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The experimental data set of this paper contains 40,000 407 408 pulse data, which is composed of pulse signals obtained by 455 the EJ-301 detector detecting the <sup>241</sup>Am-Be neutron source. The neutron detection experimental environment and data acquisition schematic are shown in Fig. 8. During the experiment, the detector is placed horizontally, the angle between the detector and the radioactive source is 0 degree, and the 414 distance between the neutron source and the detector is set 415 to be 40 cm, and the detected pulse signals are processed 416 by a digitizer at 500 MS/s and then transmitted to a PC for <sup>417</sup> preservation, and each pulse waveform contains 128 sampling 418 points, and the time interval of the two neighboring sampling 419 points is 2 ns. Fig. 9.

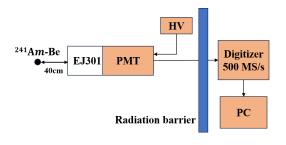


Fig. 8. Schematic diagram of neutron detection experiment.

In the process of converting neutrons and  $\gamma$ -rays into pulse 424 signals through detectors, there will be electronic noise and baseline drift and other factors, so it is necessary to carry out a certain amount of pre-processing of the pulse to try to elim- 484 high-energy domain, i.e., the discrimination in the low-energy inate these effects and unify the premise of feature extraction. 485 domain is more difficult, and it also directly expresses that For the pulse dataset in this paper, we mainly carry out the 486 the reliability of a single feature parameter when performing 429 operations of baseline zeroing, flipping, smoothing filtering 487 the discrimination task in the low-energy domain decreases 430 and normalization.

tained, and then the whole pulse is subtracted from this mean 491 effective way to improve the reliability of discrimination in value, that is, a simple baseline zeroing is completed. After 492 the low-energy domain. that, the amplitude of each sample point of the pulse is added 494 with a minus sign, that is, the pulse is flipped. Then this pa- 495 the DOS of each feature distribution of the time-domain part per utilizes a smoothing filter to carry out a moving average 496 obtained in the low-energy domain is significantly less than smoothing filter for the signal at the seven-point scale, which 497 that in the high-energy domain, and the bimodal separation of 499 essence is to take a total of 7 points, including 3 points before 498 the feature distributions in the high-energy domain is much 440 and after the current point and the point itself, to calculate the 499 better, which clearly indicates that the high-energy-domain 441 mean of their amplitudes, to replace this point; Finally, the 500 data are more easily discriminated. Moreover, the TI per-442 pulse is mapped between 0 and 1, which completes the nor- 501 forms poorly in the low-energy domain but well in the high-443 malization of the pulse. The preprocessing effect is shown 502 energy domain, during which the DOS value changes the 444 in

446 to discriminate in the pulse dataset are mainly concentrated 505 two frequency-domain features have good DOS performance 447 in the low-energy domain part, in order to explore the abil-506 in both the low-energy domain and the high-energy domain, 448 ity of the present method to improve the discrimination ef- 507 which suggests that the frequency-domain discrepancy com-449 fect of the pulses in this part, this paper divides the prepro- 508 bines with a better discrimination ability and a stronger sta-

404 set), and the classification results are output, thus completing 450 cessed pulse dataset into low-energy-domain pulse dataset 451 (containing 21,464 pulses, as dataset 1) and high-energy-452 domain pulse dataset (containing 18,536 pulses, as dataset 2) 453 with the limit of 40 keV, and carries out the discrimination 454 experiments of the present study, respectively.

### **B.** Features extraction

After inputting the preprocessed pulse into the automatic 457 feature extraction system, the system will automatically ob-458 tain the optimal distribution of each feature, and rely on the 459 trough position to automatically perform single-feature dis-460 crimination, and the obtained results are shown in Table 1, and the corresponding feature distributions and DOS values 468 are shown in Fig. 10, Fig. 11, and Fig. 12.

As can be seen from Table 1, taking the number of neu-467 trons as an example, in the high-energy domain, the largest 468 difference in the number of particles discriminated by multi-469 features is between TH and TL, with a difference of 226 parti-470 cles, accounting for 1.2% of the number of particles; while in the low-energy domain, the largest difference in the number of discriminated particles is between TH and TI, with a difference of 1,747 particles, accounting for 8.1% of the number of particles, which is about 6.8 times of that in the high-energy domain. To put it in another way, if we take the difference in the number of discrimination particles of any two different features to find the mean value, we can get that the mean difference in the high-energy domain is about 91, accounting for 479 0.49% of the number of particles, while that in the low-energy 480 domain is about 786, accounting for 3.7% of the number of particles, which is about 7.6 times that of the high-energy do-482 main. These analyses illustrate that the discrimination in the 483 low-energy domain has more uncertainties than that in the 488 dramatically compared with that in the high-energy domain. In this paper, the 30 sample points at the end of the pulse 489 Thus, the comprehensive use of multidimensional feature pawaveform are selected, the mean value of this points is ob- 490 rameters for  $n/\gamma$  discrimination is undoubtedly a direct and

As can be seen from the feature distributions and Fig. 12, 503 most, which suggests that it may be more suitable for the The pulses with small waveform differences and difficult 504 high-energy domain discrimination task; on the contrary, the

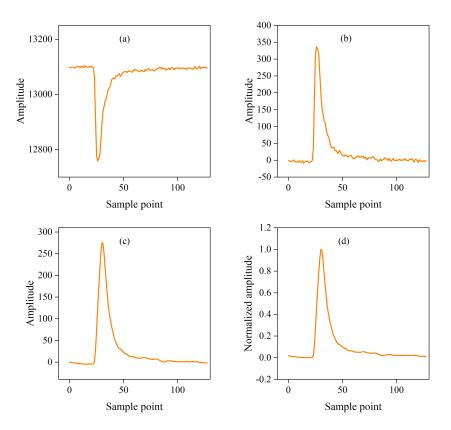


Fig. 9. Signal preprocessing:(a)Original signal;(b) Flipped and baseline restored;(c) 7 points moving smooth;(d) Normalized.

Table 1. The discrimination result of multi-features.

| Dataset | Category | PW    | TH    | TI    | TL    | ZF-AMP. | FG    |
|---------|----------|-------|-------|-------|-------|---------|-------|
| 1       | Neutron  | 9728  | 9896  | 9790  | 9670  | 9716    | 9739  |
| 1       | Gamma    | 8808  | 8640  | 8746  | 8866  | 8820    | 8797  |
| 2       | Neutron  | 8222  | 7198  | 8945  | 7206  | 7888    | 7883  |
| 2       | Gamma    | 13242 | 14266 | 12519 | 14258 | 13576   | 13581 |

bility. Therefore, it is an intuitive and effective way to dis- 531 lated from the data that this part of the pulse in the low-energy 510 criminate impulse data with multi-features covering the time- 532 domain dataset accounts for 12.23%, and in the high-energy 511 518 sults.

When the multi-features are output, according to the clas-515 516 sification results and distribution location of each feature, the program calculates the CVF and CLF of each pulse accordingly, and the resulting distribution histogram is shown in  $^{538}$  an obvious double-peak shape with zero as the trough and  $\pm 1$ 519 Fig. 13 and Fig. 14.

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Fig. 13 and Fig. 14 show the comprehensive classification 521 results of multi-features in terms of categories and distributions, respectively. From the CVF histogram, it can be seen that the pulses with CVF values of 0 and 1 are the main components of the pulse dataset, which represent the same clas- 544

frequency domain and thus improve the discrimination re- 533 domain dataset accounts for only 2.42%, which is about 10% 534 less than that in the high-energy domain, which obviously verifies the objective situation that it is more difficult to classify the pulse data in the low-energy domain than that in the 537 high-energy domain. And from the CLF histogram, it forms 539 as the peak, and the pileup of the low-energy domain at zero 540 is also more serious, and the double-peak separation effect of 541 the high-energy domain is obviously better, which also more 542 intuitively shows that the difference of waveforms of pulses 543 in the low-energy domain is even more minute.

In order to improve the discrimination effect on the hardersification voting results of multi-features, whereas the values 545 to-discriminate impulse data, we introduce the supervised disbetween 0 and 1 represent the contradiction of multi-features 546 crimination model of KNN-LDA, and use the feature criterion classification voting results, and the closer the CVF value is to 547 composed of CVF and CLF to instantly select high-quality <sub>528</sub> 0.5 the deeper the contradiction is. Compared with the high-<sub>548</sub> training samples for the model. According to Section 2.3, in 529 energy domain, the distribution between 0 and 1 in the low- 549 this paper, a total of 18,071 sets of feature data (including <sub>550</sub> energy domain plot is obviously piled up, which can be calcu- <sub>550</sub> 6,396 sets of neutron feature data and 11,675 sets of  $\gamma$ -ray

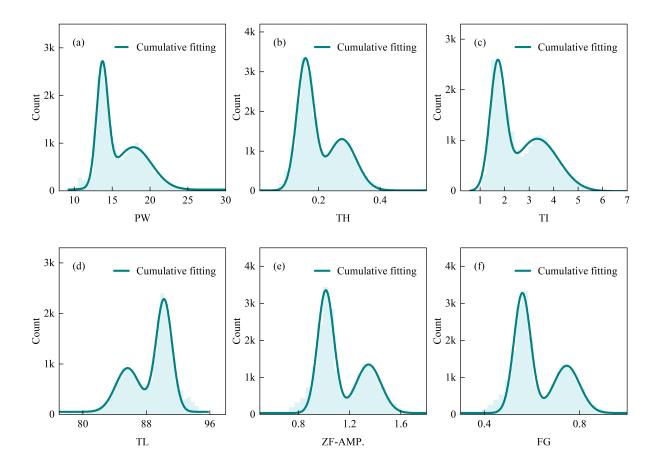


Fig. 10. The feature distribution of the low-energy domain.

 $_{551}$  feature data) in the low-energy domain dataset are selected as  $_{572}$  long-gate integral  $Q_L$  is utilized as the discrimination factor the training set, and the remaining 3,393 sets of feature data 573 of CCM, as in Eq. (17). are used as the test set 1 for further discrimination; a total of 16,931 sets of feature data (including 8,564 sets of neutron feature data, 8,367 sets of  $\gamma$ -ray feature data, and 1,080 sets of  $\gamma$ -ray feature data) are selected from the high-energy domain dataset, and the remaining 1605 sets of feature data 575 are selected as the test set 2 for further discrimination. In the subsequent discrimination experiments, we will focus on the residual test sets to compare the discrimination effect of the 578 model on them.

## CCM and LDA

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In this study, two methods, the classical charge comparison 563 method (CCM) as well as the LDA algorithm, are chosen for the experiments in order to compare them with KNN-LDA. 565

CCM uses the integral ratio of different intervals of the 566 567 568 more fully utilizes the shape difference between neutron and 591 domain is relatively better, but the FOM is also only 0.89. Ob- $\gamma$ -ray pulses, it has a good discrimination effect under most 592 viously, it is very difficult to achieve satisfactory discrimina-570 conditions, and therefore it is also widely used by researchers. 593 tion results by CCM for the impulsive data which are difficult 571 In this paper, the ratio of the short-gate integral  $Q_S$  to the 598 to differentiate, especially in the low-energy domain.

$$PSD_{CCM} = \frac{Q_S}{Q_L} \tag{17}$$

Firstly, we validate the training set data using CCM, as 576 shown in Fig. 15, the CCM histograms of the two training sets, in which the FOM in the low-energy domain reaches 1.20 and the FOM in the high-energy domain reaches 1.15, which are both with good separation effect. Using the trough as the discrimination threshold, the classification results of the two datasets are fully consistent with the labeling of the feature criterion. The above illustrates that the training set data instantly divided by the feature criterion is very reliable and can be used for model training.

Then, the two test sets were subjected to CCM discrimination, and the resulting distribution histograms are shown in 588 Fig. 16. As can be seen from the figure, the bimodal sep-<sup>589</sup> aration obtained from the test set in the low-energy domain pulse signal to discriminate the particle type. Since the CCM 550 is very poor, with a FOM of only 0.58; while the high-energy

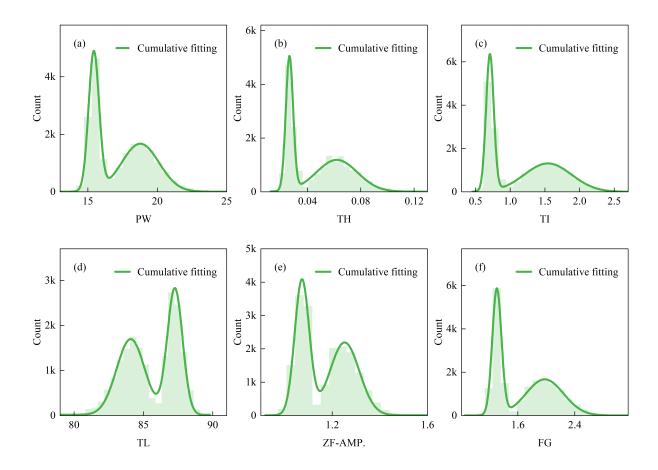


Fig. 11. The feature distribution of the high-energy domain.

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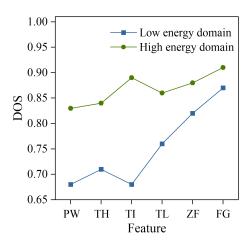


Fig. 12. Line chart of DOS.

596 597 model for training, and the test set feature data is reduced to 619 the K value is set to 5, that is, the mean value of the five train-598 one-dimensional feature data according to the best projection 620 ing samples closest to the input sample is used as the regresdirection obtained, and the resulting distribution histogram is 621 sion of that input sample in KNN regression. After that, the 600 shown in Fig. 17. As can be seen from the figure, the dis- 622 training set data is input into the KNN-LDA model for train-

601 crimination effect of the LDA model is better than that of the CCM, but the FOM in the low-energy domain is only improved by 0.08 to 0.65, while in the high-energy domain the FOM is improved by 0.23 to 1.12. The improvement of the discrimination effect of the LDA model is more obvious for the part of the high-energy domain, but it is still insufficient for the part of the low-energy domain.

#### D. KNN-LDA

The KNN-LDA algorithm needs to set a suitable value of nearest neighbor K. The value of K has a large impact on the performance and computational cost of the algorithm. Considering the computational efficiency of the algorithm as well as the feature dataset with high-density distribution characteristics, setting a smaller value of K satisfies the needs of the algorithm. The range of K value is set to [3,5,7], and the 617 training set with labels is used to verify that KNN has the best Finally, the training set feature data is input into the LDA 618 classification accuracy when K=5. Therefore, in this paper,

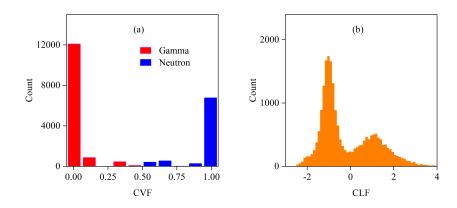


Fig. 13. Histogram of CVF and CLF of the low-energy domain.

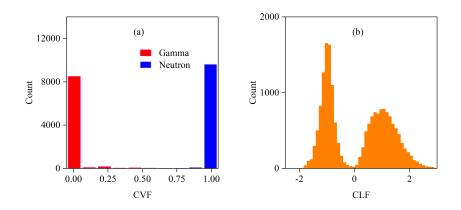


Fig. 14. Histogram of CVF and CLF of the high-energy domain.

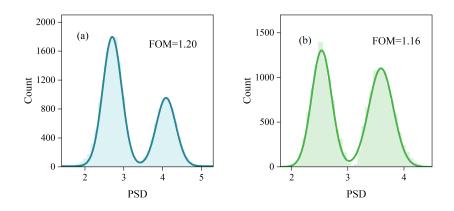


Fig. 15. Histogram of CCM of training set: (a) Low energy domain; (b) High energy domain.

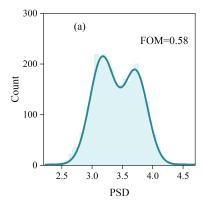
623 ing, after which the test set data is regressed and downscaled, 632 of FOM.
 624 and the one-dimensional feature distribution histogram output
 626 from the model is shown in Fig. 18.

As seen in Fig. 18, the discrimination effect of the KNN428 LDA model is excellent, and the double peaks are clearly dis429 tinguished in both low-energy and high-energy domains. And
430 the FOM reaches 2.64 in the low-energy domain and 3.07 in
431 the high-energy domain, which is a significant improvement
432 E. Result
433 The FOM indicators obtain
434 marized as shown in Table 2.
435 As can be seen from Table

# E. Results and analysis

The FOM indicators obtained from each method were summarized as shown in Table 2.

As can be seen from Table 2, KNN-LDA has a brilliant



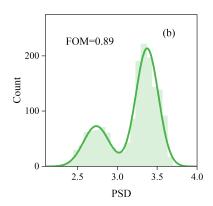
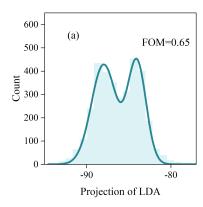


Fig. 16. Histogram of CCM of test set: (a) Low energy domain; (b) High energy domain.



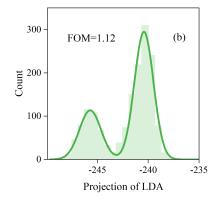
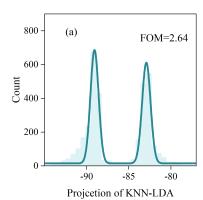


Fig. 17. Histogram of LDA of test set: (a) Low energy domain; (b) High energy domain.



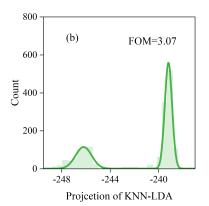


Fig. 18. Histogram of KNN-LDA of test set: (a) Low energy domain; (b) High energy domain.

699 FOM obtained from the KNN-LDA model is 2.18 higher than 647 ture space, which in turn allows the KNN-LDA model to sig-640 the CCM, an enhancement of about 245%, and 1.95 higher 648 nificantly improve the discrimination effect, especially for the 641 than the LDA, an enhancement of about 174%; in the test 649 low-energy domain part of the enhancement is very powerful. 642 set of low-energy domain, the FOM obtained from the KNN- 650 In addition, comparing the FOM value of different energy do-643 LDA model is 2.06 higher than the CCM, an enhancement of 651 mains of the same method, the high-energy domains are all 644 about 355%, and 1.99 higher than the LDA, an enhancement 652 significantly better than the low-energy domains, which again

698 FOM performance, in the test set of high-energy domain, the 646 tively optimize the feature data in the multidimensional fea-645 of about 306%. It can be seen that KNN regression can effec- 653 verifies that the high-energy domain pulse data have more

Table 2. Experimental results.

| Method  | Energy domain      | FOM  |
|---------|--------------------|------|
| CCM     |                    | 0.58 |
| LDA     | Low energy domain  | 0.65 |
| KNN-LDA |                    | 2.64 |
| CCM     |                    | 0.89 |
| LDA     | High energy domain | 1.12 |
| KNN-LDA |                    | 3.07 |

obvious waveform differences and are easier to be discrim- 677 inated, even though they all belong to the part that is more 678 multi-features and KNN-LDA. The model achieves integrated difficult to be discriminated in the original dataset.

658 the proposed multi-features-based KNN-LDA model shows 681 ously and automatically, offering a complete system with di-659 better discrimination performance compared to the traditional 682 verse functionalities. The experimental results show that the 660 method based on a single feature, especially for low-energy- 683 model achieves a FOM of 3.07 on the high-energy domain test 665 multi-features. And the strategy of constructing a training set 688 for the introduction of supervised learning algorithms in the 666 for the supervised model from the multi-features in the orig-689 field of  $n/\gamma$  discrimination to solve the problem of the diffi-667 inal dataset and then performing high-precision classification 690 culty of discrimination in the low-energy domain, and also 668 using the model is both effective and feasible. This not only 691 makes the transplantation application of supervised discrimi-669 facilitates the application of the supervised model in field dis- 692 nation model more possible.

670 crimination but also makes algorithm transplantation feasible 671 to some extent. Lastly, the automatic feature extraction algo-672 rithm designed in this paper can effectively obtain the optimal 673 feature distribution, which not only optimizes the feature ex-674 traction process but also greatly enhances the feasibility of 675 this method.

### IV. CONCLUSION

This paper proposes an  $n/\gamma$  discrimination model based on 679 automation, where feature extraction, dataset splitting, model The experimental results can be analyzed as follows: First, 680 training, and test set discrimination are performed continudomain data, which demonstrates the advantage of multi- 684 dataset and 2.64 on the low-energy domain test dataset, sigfeatures comprehensiveness and supports the reasonableness 685 nificantly improving discrimination performance. The model of the KNN-LDA model. Second, the KNN-LDA model ef- 686 effectively discriminates low-energy domain pulses that are fectively mines and optimizes the discrimination ability of 687 difficult for CCM to distinguish, which provides a new idea

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